

# Privacy Statement

FACTS	<b>WHAT DOES Quantitative Process, LLC. (“QP, LLC”) DO WITH YOUR FINANCIAL INFORMATION?</b>	
<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
<b>What?</b>	<p>The types of personal information we collect and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>▪ Social Security number and Date of Birth</li> <li>▪ Net Worth and Income</li> <li>▪ Account balances and assets</li> <li>▪ Transaction history</li> <li>▪ ACH and Wire Transfer Instructions</li> </ul>	
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Quantitative Process, LLC chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does QP, LLC share?	Can you limit this sharing?
<b>For our everyday business purposes –</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes –</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies -</b>	No	We do not share
<b>For our affiliates’ everyday business purposes-</b> information about your transactions and experiences	No	Not Applicable
<b>For our affiliates’ everyday business purposes-</b> information about your creditworthiness	No	Not Applicable
<b>For our affiliates to market to you</b>	No	Not Applicable
<b>For non-affiliates to market to you</b>	No	We do not share
<b>Questions?</b>	Call our Chief Compliance Officer at 888 253-3292 or write to: Quantitative Process, LLC Attn. Chief Compliance Officer 10700 Sikes Place, Suite 395 Charlotte, NC 28277	

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Who we are	
Who is providing this notice?	Quantitative Process, LLC
What we do	
How does Quantitative Process, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does Quantitative Process, LLC collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>▪ Open an account</li> <li>▪ Make deposits or withdrawals from your account</li> <li>▪ Seek advice about your investments</li> <li>▪ Enter into an investment advisory contract</li> <li>▪ Tell us about your investment or retirement portfolio or earnings</li> </ul> <p>We also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes – information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for non-affiliates to market to you.</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>We have no affiliates.</i></li> </ul>
Non-affiliates	<p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>We may share personal information with non-affiliated third parties such as custodians, broker/dealers, banks and investment advisors for business purposes. Quantitative Process, LLC may also share personal information with parties who provide technical support for our hardware and software systems and/or our legal and accounting professionals.</i></li> <li>▪ <i>We do not share with non-affiliates so that they can market to you.</i></li> </ul>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or service to you.</p> <ul style="list-style-type: none"> <li>▪ <i>We do not jointly market.</i></li> </ul>